

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	3508736
<b>Application Number:</b>	10775868
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	1175
<b>Title of Invention:</b>	Insider trading risk management
<b>First Named Inventor/Applicant Name:</b>	David Lawrence
<b>Customer Number:</b>	28062
<b>Filer:</b>	Randolph P. Calhoun/Edith Martin
<b>Filer Authorized By:</b>	Randolph P. Calhoun
<b>Attorney Docket Number:</b>	G08,130/U
<b>Receipt Date:</b>	24-JUN-2008
<b>Filing Date:</b>	10-FEB-2004
<b>Time Stamp:</b>	14:39:09
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$ 120
RAM confirmation Number	10157
Deposit Account	501852
Authorized User	CALHOUNE,RANDOLPH P.

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Amendment - After Non-Final Rejection	G08130U_Response_to_NF OA_2-25-08.pdf	75816 383B6b1c3ee6e4f7ad3d42b6e5d1c37174679397	no	10
Warnings:					
Information:					
2	Extension of Time	G08130U_1MO_Extensionof Time.pdf	262274 e096429593e1e38338a386d75d3a21c2a258b1e3d	no	1
Warnings:					
Information:					
3	Miscellaneous Incoming Letter	G08130U_ResponseTransmittal_to_NFOA_2-25-08.pdf	70380 63239b62010745a0196b206f1c25bab7c35ae1c	no	2
Warnings:					
Information:					
4	Fee Worksheet (PTO-06)	fee-info.pdf	8135 43091f024d723a43211d48a209ab7a29175503d	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			416605		
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.					
New Applications Under 35 U.S.C. 111 If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.					
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New International Application Filed with the USPTO as a Receiving Office If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.					